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PTO/SB/05 (11-00)

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01-24-02

UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. 089469-000000US First Inventor Kaufmann, Juergen

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

GAS PERMEABLE PROBE FOR USE IN AN OPTICAL ANALYZER Title FOR AN EXHAUST GAS STREAM FLOWING THROUGH A DUCT OR CHIMNEY

EL 769988866 US Express Mail Label No. Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO **Box Patent Application** See MPEP chapter 600 concerning design patent application contents. Washington, DC 20231 1. X Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. X Specification [Total Pages 36 a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii. paper number of pages - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix ACCOMPANYING APPLICATIONS PARTS - Background of the Invention Assignment Papers (cover sheet & document(s)) - Brief Summary of the Invention 9. 🗵 - Brief Description of the Drawings (if filed) 10. 🔲 37 C.F.R.§3.73(b)Statement - Detailed Description (when there is an assignee) - Claim(s) - Abstract of the Disclosure 11. English Translation Document (if applicable) 12. 4. X Drawing(s) (35 U.S.C.113) [Total Sheets 6 Information Disclosure ☐ Copies of IDS Citations Statement (IDS)/PTO-1449 5. Oath or Declaration [Total Pages | 2 13. **Preliminary Amendment** a. Newly executed (original or copy) 14. 🖾 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. Nonpublication Request under 35 U.S.C. named in the prior application, see 37 CFR 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent Application Data Sheet. See 37 CFR 1.76 17. 区 Other: (Combined Declaration Power of Attorney) 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____/ Prior application information: Examiner Group Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS or \square Correspondence address below ☑ Customer Number or Bar Code Label 20350 (Insert Customer No. or Attach bar code label here) Name Address City State Zip Code Country Telephone Fax Name (Print/Type) J. Georg Seka Registration No. (Attorney/Agent) 24,491 Signature Date November 13, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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CCC TO A MORRITTA I	Complete if Known				
fee TRANSMITTAL for FY 2001	Application Number	Not yet assigned			
	Filing Date	Herewith			
Patent fees are subject to annual revision.	First Named Inventor	Kaufmann , Juergen			
	Examiner Name	Unassigned			
	Group Art Unit	Unassigned			

Attorney Docket No.				089469-00000005			
METHOD OF PAYMENT	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge		3. ADDITIONAL FEES					
Deposit Account 20-1430	Large Fee Code 105	Entity Fee (\$) 130	Small Fee Code 205	Entity Fee (\$)	Fee Description Surcharge - late filing fee or oath	Fee Paid	
Number	127	50	227	25	Surcharge - late provisional filing fee		
Deposit					or cover sheet.		
Account Townsend and Townsend and Crew LLP	139	130	139	130	Non-English specification		
Name Charge Any Additional Fee Required	147	2,520	147	2,520	For filing a request for reexamination		
Under 37 CFR 1.16 and 1.17	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status. See 37 CFR 1.27	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. Payment Enclosed:	115	110	215	55	Extension for reply within first month		
☐ Check ☐ Credit card ☐ Money ☐ Other Order	116	400	216	200	Extension for reply within second month		
FEE CALCULATION	117	920	217	460	Extension for reply within third month		
1. BASIC FILING FEE	118	1,440	218	720	Extension for reply within fourth month		
Large Entity Small Entity	128	1,960	228	980	Extension for reply within fifth month		
Fee Fee Fee Fee Description	119	320	219	160	Notice of Appeal		
Code (\$) Code (\$) Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
101 740 201 370 Utility filing fee 740 106 330 206 165 Design filing fee	121	280	221	140	Request for oral hearing		
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
108 740 208 370 Reissue filing fee	140	110	240	55	Petition to revive – unavoidable		
114 160 214 80 Provisional filing fee	141	1,280	241	640	Petition to revive – unintentional		
CUPTOTAL (4)	142	1,280	242	640	Utility issue fee (or reissue)		
SUBTOTAL (1) (\$)740	143	460	243	230	Design issue fee		
2. EXTRA CLAIM FEES	144	620	244	310	Plant issue fee		
Extra Fee from Fee	122	130	122	130	Petitions to the Commissioner		
Total Claims 39 -20** = 19 X \$18 = \$342	123	50	123	50	Petitions related to provisional applications		
Independent Claims 1 -3** = 0 X \$84 = \$0	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent X =	581	40	581	40	Recording each patent assignment per property (times number of properties)	40	
Large Entity Small Entity Fee Fee Fee Fee Description	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
102 84 202 42 Independent claims in excess of 3	179	740	279	370	Request for Continued Examination (RCE)		
104 280 204 140 Multiple dependent claim, if not paid							
109 84 209 42 ** Reissue independent claims over original patent	169	900	169	169 900 Request for expedited examination of a design application			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)						
SUBTOTAL (2) (\$)342	The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.						
	*Reduc	ed by Ba	sic Filing	g Fee Pa	aid SUBTOTAL (3) (\$)40		
**or number previously paid, if greater; For Reissues, see above					(ψ)40		

SUBMITTED BY					Complete (if applicable)		
Name (Print/Type)	J. Georg Seka	4	Registration No. (Attorney/Agent)	24,491	Telephone	415-576-0200	
Signature		1	Wille		Date	November 13, 2001	

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